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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named  
Inventor : Harry Gozlan  
Appln. No. : 09/980,231  
Filed : April 11, 2002  
Title : METHOD AND SYSTEM FOR  
PROCESSING, BY MUTUAL  
AGREEMENT, PURCHASE AND SALE  
ORDERS ON STANDARD AND/OR  
SPECIFIC FINANCIAL INSTRUMENTS  
Docket No. : S857.312-1

Group Art Unit: 2163

Examiner:

STATUS INQUIRY

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I CERTIFY THAT THIS PAPER IS BEING SENT BY U.S. MAIL,  
FIRST CLASS, TO THE ASSISTANT COMMISSIONER FOR  
PATENTS, ALEXANDRIA, VA 22313, THIS 3 DAY OF

August

2004

PATENT ATTORNEY

Sir:

More than 28 months have passed since the filing of a Response to Missing Requirements under  
35 U.S.C. 371 on April 11, 2002.

No further communication has been received from the Patent and Trademark Office. Kindly advise  
the undersigned of the present status of this application by checking the appropriate boxes below and  
providing the information requested. A stamped return-addressed envelope is provided.

STATUS INQUIRY REPLY

Application No. 09/980,231 is currently assigned to Group 2163

- ☐ Action by the Examiner is expected by \_\_\_\_\_  
☐ An office action was mailed on \_\_\_\_\_

Respectfully submitted,  
KINNEY & LANGE, P.A.

By:

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August 3, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: U.S. Patent Application of Harry Gozlan  
Serial No. : 09/980,231  
Filed : April 11, 2002  
For : Method and System for Processing, by Mutual Agreement, Purchase  
and Sale Orders on Standard and/or Specific Financial Instruments  
Docket No. : S857.312-1

Dear Sir:

Enclosed for filing are the following papers in connection with the above-identified patent application:

1. Postcard;
2. Status Inquiry; and
3. Self Addressed Envelope.

Respectfully submitted,

KINNEY & LANGE, P.A.

Alan M. Koenck

AMK:amh  
Enclosures